

The National Aeronautics and Space Administration
Fiscal Year 2000
Annual Freedom of Information Act (FOIA) Report
As of 1/18/01

I. Basic Information

- A. Name, Title, Address and telephone number of person(s) to contact with questions about the report.

Nancy F. Lockard, Procurement Analyst, 301-286-6574

- B. Electronic address for report on the World Wide Web.

www.foia@gsfc.nasa.gov

- C. How to obtain a copy of the report in paper form.

Mail or fax written request to:

Address: **NASA/GSFC, Code 213/FOIA, Greenbelt, MD 20771**

FAX Number: **301-286-1712**

OR

Send e-mail request to: **www.foia@gsfc.nasa.gov**

II. How to Make a FOIA Request

- A. Names, addresses, and telephone numbers of all individual Agency components and offices that receive FOIA requests.

Goddard Space Flight Center

Attn: Freedom of Information Act Office

Mail Code 213.1

Greenbelt MD 20771

(301) 286-0730

Goddard Space Flight Center

Attn: Public Affairs Office

Mail Code 130

Greenbelt MD 20771

(301) 286-6255

- B. Brief description of the agency's response-time ranges.

7 to 50 days response time

- C. Brief description of why some requests are not granted.

Some requests are not granted based upon the exemptions allowed under the FOIA, request is withdrawn, or no agency record exist.

The National Aeronautics and Space Administration
Fiscal Year 2000
Annual Freedom of Information Act (FOIA) Report (continued)

III. Definitions of Terms and Acronyms Used in the Report

A. Agency-specific acronyms or other terms.

1. NASA - National Aeronautics and Space Administration.
2. HQ - Headquarters.
3. ARC - Ames Research Center.
4. DFRC - Dryden Flight Research Center.
5. GSFC - Goddard Space Flight Center.
6. JSC - Lyndon B. Johnson Space Center.
7. KSC - John F. Kennedy Space Center.
8. LaRC - Langley Research Center.
9. GRC - Glenn Research Center.
10. MSFC - George C. Marshall Space Flight Center.
11. SSC - John C. Stennis Space Center.
12. WFF - Wallops Flight Facility.
13. OIG – Office of Inspector General
14. E-FOIA – Electronic Freedom of Information Act

B. Basic terms, expressed in common terminology.

1. FOIA/PA request -- Freedom of Information Act/Privacy Act request. A FOIA request is generally a request for access to records concerning a third party, an organization, or a particular topic of interest. A Privacy Act request is a request for records concerning oneself. Such requests are also treated as FOIA requests.
2. Initial Request -- a request to a federal agency for access to records under the Freedom of Information Act.
3. Appeal -- a request to a federal agency asking that it review at a higher administrative level a full denial or partial denial of access to records under the Freedom of Information Act, or any other FOIA determination such as a matter pertaining to fees.
4. Processed Request or Appeal -- a request or appeal for which an agency has taken a final action on the request or the appeal in all respects.
5. Multi-track processing -- a system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests in each track are processed on a first-in/first-out basis. A requester who has an urgent need for records may request expedited processing (see below).

The National Aeronautics and Space Administration
Fiscal Year 2000
Annual Freedom of Information Act (FOIA) Report (continued)

6. Expedited processing -- an agency will process a FOIA request on an expedited basis when a requester has shown an exceptional need or urgency for the records which warrants prioritization of his or her request over other requests that were made earlier.

7. Simple request -- a FOIA request that an agency using multi-track processing places in its fastest (non-expedited) track based on the volume and/or simplicity of records requested.

8. Complex request -- a FOIA request that an agency using multi-track processing places in a slower track based on the volume and/or complexity of records requested.

9. Grant -- an agency decision to disclose all records in full in response to a FOIA request.

10. Partial grant -- an agency decision to disclose a record in part response to a FOIA request, deleting information determined to be exempt under one or more of the FOIA's exemptions; or a decision to disclose some records in their entireties, but to withhold others in whole or in part.

11. Denial -- an agency decision not to release any part of a record or records in response to a FOIA request because all the information in the requested records is determined by the agency to be exempt under one or more of the FOIA's exemptions, or for some procedural reason (such as because no record is located in response to a FOIA request).

12. Time limits -- the time period in the Freedom of Information Act for an agency to respond to a FOIA request (ordinarily 20 working days from proper receipt of a "perfected" FOIA request).

13. "Perfected" request -- a FOIA request for records which adequately describes the records sought, which has been received by the FOIA office of the agency or agency component in possession of the records, and for which there is no remaining question about the payment of applicable fees.

14. Exemption 3 statute -- a separate federal statute prohibiting the disclosure of a certain type of information and authorizing its withholding under FOIA subsection (b)(3).

The National Aeronautics and Space Administration
Fiscal Year 2000
Annual Freedom of Information Act (FOIA) Report (continued)

15. Median number -- the middle, not average, number. For example, of 3, 7, and 14, the median number is 7.

16. Average number -- the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.

IV. Exemption 3 Statutes

A. List of Exemption 3 statutes relied on by agency during current fiscal year. **0**

V. Initial FOIA/PA Access Requests

A. Numbers of initial requests. **187**

1. Number of requests pending as of end of preceding fiscal year: **0**

2. Number of requests received during current fiscal year: **187**

3. Number of requests processed during current fiscal year: **180**

4. Number of requests pending as of end of current fiscal year: **7**

B. Disposition of initial requests.

1. Number of total grants: **71**

2. Number of partial grants: **82**

3. Number of denials: **1**

a. number of times each FOIA exemption used:

(1) Exemption 1: **0**

(2) Exemption 2: **0**

(3) Exemption 3: **0**

(4) Exemption 4: **79**

(5) Exemption 5: **0**

The National Aeronautics and Space Administration
Fiscal Year 2000
Annual Freedom of Information Act (FOIA) Report (continued)

(6) Exemption 6:	3
(7) Exemption 7(A):	0
(8) Exemption 7(B):	0
(9) Exemption 7(C):	0
(10) Exemption 7(D):	0
(11) Exemption 7(E):	0
(12) Exemption 7(F):	0
(13) Exemption 8:	0
(14) Exemption 9:	0

4. Other reasons for nondisclosure (total): 26

- a. no records: **5**
- b. referrals: **5**
- c. request withdrawn: **10**
- d. fee-related reason: **0**
- e. records not reasonably described: **1**
- f. not a proper request for some other reason: **0**
- g. not an agency record: **0**
- h. duplicate request: **2**
- i. other: **3**
 - 1. Information didn't exist.
 - 2. Requests were forwarded to Headquarters for processing.

The National Aeronautics and Space Administration
Fiscal Year 2000
Annual Freedom of Information Act (FOIA) Report (continued)

VI. Appeals of Initial Denials of FOIA/PA Requests

A. Numbers of appeals.

1. Number of appeals received during fiscal year:
2. Number of appeals processed during fiscal year:

B. Disposition of appeals.

1. Number completely upheld:
2. Number partially reversed:
3. Number completely reversed:
- a. Number of times each FOIA exemption used:

- (1) Exemption 1:
- (2) Exemption 2:
- (3) Exemption 3:
- (4) Exemption 4:
- (5) Exemption 5:
- (6) Exemption 6:
- (7) Exemption 7(A):
- (8) Exemption 7(B):
- (9) Exemption 7(C):
- (10) Exemption 7(D):
- (11) Exemption 7(E):
- (12) Exemption 7(F):
- (13) Exemption 8:
- (14) Exemption 9:

The National Aeronautics and Space Administration
Fiscal Year 2000
Annual Freedom of Information Act (FOIA) Report (continued)

4. Other reasons for nondisclosure (total):
- a. no records:
 - b. referrals
 - c. request withdrawn
 - d. fee-related reason
 - e. records not reasonably described
 - f. not a proper FOIA request for some other reason
 - g. not an agency record
 - h. duplicate request
 - i. other (specify)

VII. Compliance with Time Limits/Status of Pending Requests

A. Average processing time for requests processed during the year.

- 1. Simple requests.
 - a. number of requests processed: **56**
 - b. average number of days to process: **12**
- 2. Complex requests (specify for any and all tracks used).
 - a. number of requests processed: **124**
 - b. average number of days to process: **26**
- 3. Requests accorded expedited processing.
 - a. number of requests processed: **0**
 - b. average number of days to process: **0**

The National Aeronautics and Space Administration
Fiscal Year 2000
Annual Freedom of Information Act (FOIA) Report (continued)

B. Status of pending requests.

1. Number of requests pending as of end of current fiscal year: **7**
2. Median number of days that such requests were pending as of that date: **72**

VIII. Comparisons with Previous Year(s)	<u>FY 1999</u>	<u>FY 2000</u>
A. Comparison of numbers of requests received	265	187
B. Comparison of numbers of requests processed	222	180
C. Comparison of median numbers of days requests were pending as of end of fiscal year	97	72
D. Other statistics significant to agency.	N/A	
E. Other narrative statements describing agency efforts to improve timeliness of FOIA performance and to make records available to the public (e.g. backlog-reduction efforts; specification of average number of hours per processed request; training activities; public availability of new categories of records).		

FOIA Specialists are involved in training activities sponsored by ASAP, DOJ and Headquarters. In addition, the FOIA Specialists are currently working on increasing public availability of new categories of records.

IX. Costs/FOIA Staffing

A. Staffing levels.

1. Number of full-time FOIA personnel: **3**
2. Number of personnel with part-time or occasional FOIA duties (in total work-years): **7 persons or 3.16 work-years**
3. Total number of personnel (in work-years): **10 persons or 6.16 work-years**

The National Aeronautics and Space Administration
Fiscal Year 2000
Annual Freedom of Information Act (FOIA) Report (continued)

B. Total costs.

1. FOIA processing: **\$138,137.86**
2. Litigation-related activities: **0**
3. Total costs: **\$138,137.86**
4. Comparison with previous year(s).

<u>FY99</u>	<u>FY00</u>
\$100,719	\$138,137.86

X. Fees

A. Total amount of fees collected by agency for processing requests: **\$3,672.95**

B. Percentage of total costs: **3%**

XI. FOIA Regulations (Including Fee Schedule)

Available electronically on our FOIA Web page at <http://www.hq.nasa.gov/pao/FOIA/>.